

IPW 3738

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PTO/SB/21 (09-04)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/519,246
Filing Date	March 6, 2000
First Named Inventor	Stuart K. WILLIAMS
Group Art Unit	3738
Examiner Name	Thomas C. Barrett
Attorney Docket No.	9896.143.0

ENCLOSURES (check all that apply)

- | | | |
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| <input type="checkbox"/> Fee Transmittal Form
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<input type="checkbox"/> Proprietary Information
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<input checked="" type="checkbox"/> Power of Attorney to Prosecute Applications Before the USPTO
<input checked="" type="checkbox"/> Statement Under 37 CFR 3.73(b) with copies of Assignment documents |
|--|---|--|
- Remarks:

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Customer Number:	022859
Signature	
Printed Name	John F. Dolan
Date 9-30-2004	Reg. No. 45,382

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature		Date	30 September 2004
Typed or printed name	Madelyn E. Thompson		

OCT 05 2004

PTO/SB/80 (12-03)

Approved for use through 11/30/2005, OMB 0851-0035

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POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby appoint:

☒ Practitioners associated with the Customer Number:

022859

OR :

☐ Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

Assignee Name and Address:

SurModics, Inc.
 9924 West 74th Street
 Eden Prairie, Minnesota 55344

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

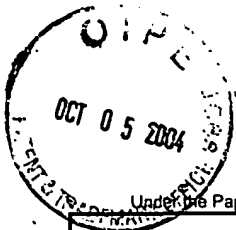
SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Name	Patrick E. Guire		
Signature	Patrick E. Guire	Date	22 Sep'04
Title	Sr. V.P.	Telephone	(952) 829-2700

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to the (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9189 and select option 2.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: SURMODICS, INC. (Inventor(s): Stuart K. Williams and David L. Clapper)Application No./Patent No.: 09/519,246 Filed/Issue Date: March 6, 2000Entitled: ENDOVASCULAR GRAFT COATINGSSURMODICS, INC., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.**OR**B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:1. From: Stuart K. Williams and David L. Clapper To: ARIARIZONA BOARD OF REGENTSThe document was recorded in the United States Patent and Trademark Office at
Reel 010956, Frame 0544, or for which a copy thereof is attached.2. From: ARIZONA BOARD OF REGENTS To: SURMODICS, INC.The document was recorded in the United States Patent and Trademark Office at
Reel 010956, Frame 0541, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet.☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

September 30, 2004

Date

(612) 492-7108

Telephone number

John F. Dolan

Typed or printed name

Signature

Attorney

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

BEST AVAILABLE COPY~~ASSIGNMENT~~

We, Stuart K. Williams and David L. Clapper, citizens of the United States, residing at 5181 N. Circulo Sobrio, Tucson, AZ 85718 and 6110 Chestnut Terrace, Shorewood, MN 55331, respectively, have made an invention relating to ENDOVASCULAR GRAFT COATINGS and have executed an application for United States Letters Patent based thereon and identified by attorney's File No. 9896.143, and naming the following inventors: Stuart K. Williams and David L. Clapper, S.N. 09/519246, filed March 6, 2000. We hereby authorize and request our attorney, Philip M. Goldman of 1100 International Centre, 900 Second Avenue South, Minneapolis, Minnesota 55402, to insert the application number of said application when known.


In exchange for good and valuable consideration, the receipt of which we now acknowledge, we hereby assign to The Arizona Board of Regents on behalf of the University of Arizona, a nonprofit educational institution with offices located at 1501 North Campbell Avenue, Tucson, AZ, its successors and assigns:

- (1) The entire right, title and interest to the above-identified invention and application;
- (2) The entire right, title and interest to any United States or foreign Letters Patents which may issue with respect to the above-identified invention;
- (3) The entire right, title and interest to any renewals, reissues, extensions, substitutions, continuations, continuations-in-part, or divisions that may be filed with respect to the above-identified invention and application; and
- (4) The right to apply for Letters Patents in foreign countries in its own name and to claim any priority rights to which such foreign applications are entitled under international conventions, treaties or otherwise.

We further agree for ourselves and for our heirs, executors and administrators, to execute and deliver without further consideration any further applications, assignments or other documents and to perform such other lawful acts as The Arizona Board of Regents on behalf of the University of Arizona, its successors and assigns may deem necessary to fully secure its rights, title or interest as outlined herein.

BEST AVAILABLE COPY

We hereby authorize and request the Commissioner of Patents and Trademarks to issue to The Arizona Board of Regents on behalf of the University of Arizona any Letters-Patents which may be granted in accordance with this Assignment.

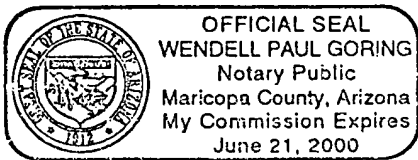

Stuart K. Williams

March 21, 2000.

Subscribed and sworn to before me
this 21 day of March, 2000

State of Arizona)
) .. ss
 County of Pima)

Blunden Paul Goring
Notary Public



David L. Clapper
David L. Clapper

March 28, 2000.

Subscribed and sworn to before me
this 28 day of March, 2000

Kathleen H. Ulise
Notary Public



BEST AVAILABLE COPY

~~ASSIGNMENT~~

The Arizona Board of Regents on behalf of the University of Arizona, a nonprofit educational institution with offices located at 1501 North Campbell Avenue, Tucson, AZ (the "Board"), is the assignee of an invention relating to ENDOVASCULAR GRAFT COATINGS and an application for United States Letters Patent based thereon and identified by attorney's File No. 9896.143.0, and naming the following inventors: Stuart K. Williams and David L. Clapper, S.N. 09/519246, filed March 6, 2000. The Board hereby authorizes and requests its attorney, Philip M. Goldman of 1100 International Centre, 900 Second Avenue South, Minneapolis, Minnesota 55402, to insert the application number of said application when known.

In exchange for good and valuable consideration, the receipt of which is acknowledged, the Board hereby assigns to SurModics, Inc., a Minnesota corporation having its principal place of business at 9924 West 74th Street, Eden Prairie, Minnesota 55344-3523, its successors and assigns, an undivided one-half interest:

- (1) In the above-identified invention and application;
- (2) In any United States or foreign Letters Patents which may issue with respect to the above-identified invention;
- (3) In any renewals, reissues, extensions, substitutions, continuations, continuations-in-part, or divisions that may be filed with respect to the above-identified invention and application; and
- (4) The right to apply for Letters Patents in foreign countries and to claim any priority rights to which such foreign applications are entitled under international conventions, treaties or otherwise.

The Board further agrees to execute and deliver without further consideration any further applications, assignments or other documents and to perform such other lawful acts as SurModics, Inc., its successors and assigns may deem necessary to secure its rights, title or interest as outlined herein.

BEST AVAILABLE COPY

The Board authorizes and requests the Commissioner of Patents and Trademarks to issue to the Arizona Board of Regents on behalf of the University of Arizona and to SurModics, Inc. any Letters Patents which may be granted in accordance with this Assignment.

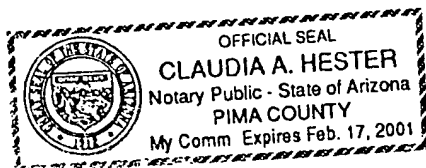
Dated this 10th day of April, 2000.

THE ARIZONA BOARD OF REGENTS ON
BEHALF OF THE UNIVERSITY OF ARIZONA

Richard A. Hensy Jr.
By RICHARD A. HENSY JR.
Its SPECIALIST, INTELLECTUAL PROPERTY
MANAGEMENT

Subscribed and sworn to before me
this 10th day of April, 2000

Claudia A. Hester
Notary Public



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